

Mail-in Form

**Leave Blank
OR**
[If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.
☐ Apply my choices only to me]

- Mark any/all you want to limit:
- ☐ Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
 - ☐ Do not allow your affiliates to use my personal information to market to me.
 - ☐ Do not share my personal information with nonaffiliates to market their products and services to me.

Name	
Address	
City, State, Zip	

Mail to:
Mercator
Investment
Management LLC

FACTS

WHAT DOES MERCATOR INVESTMENT MANAGEMENT LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: ▪ Employee Identification Number ▪ IRS Tax ID Number ▪ Social Security Number ▪ Income and net worth ▪ Account balances		
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Mercator Investment Management LLC chooses to share; and whether you can limit this sharing.		
Reasons we can share your personal information		Does Mercator Investment Management LLC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus		Yes	No
For our marketing purposes— to offer our products and services to you		Yes	No
For joint marketing with other financial companies		No	N/A
For our affiliates’ everyday business purposes— information about your transactions and experiences		No	N/A
For our affiliates’ everyday business purposes— information about your creditworthiness		No	N/A
For our affiliates to market to you		No	No
For nonaffiliates to market to you		No	N/A
To limit our sharing	▪ Call (646) 764-4769 / menu will prompt you through your choice(s) ▪ Visit us online https://mercatormutualfunds.com or ▪ Mail the form below Please note: If you are a <i>new</i> customer, we can begin sharing your information [30] days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.		
Questions?	Call (646) 764-4769		

Who we are	
Who is providing this notice?	Mercator Investment Management, LLC
What we do	
How does Mercator Investment Management LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Mercator Investment Management collect my personal information?	We collect your personal information, for example, when you ■ open an account or deposit money
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ Mercator Investment Management does not have any affiliates.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ <i>Non-Affiliated information is N/A to Mercator Investment Management</i>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ <i>Joint marketing information is N/A to Mercator Investment Management.</i>
Other important information	
Mercator Investment Management Privacy Notice is enclosed in this information.	

